## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )		) .	Art Unit: 2655
Hiroshi MIYA	AZAWA et al.	) .	Examiner: G. Patel
Serial No.	09/486,116	·)	CERTIFICATE OF MAILING
Filed:	February 18, 2000	)	I hereby certify that this correspondence is being deposited with
For: OPTIO	CAL PICKUP APPARATI	JS,)	The United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents,
HOLDER AND METHOD OF )		)	Washington, D.C. 20231, on 3.10.2003
PROD	DUCING OPTICAL PICK	UP)	Adele M. Stamper
APPA	RATUS	)	

## **INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner of Patents Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

In accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

JP 05-325217 and JP 63-193215 were cited by a Japanese Examiner in an Official Action mailed December 10, 2002 in a corresponding Japanese Application Number 09-236475. The Official Action states that JP 5-325217 discloses a technique

of forming spots on a plurality of tracks of a disc at the same time, by dividing light emitted from a semiconductor laser into a plurality of beams. The Official Action further states that JP 63-193215 discloses that a lens support member and a light detector support member are attached to a chassis. On page 5, lines 17-19, this document states: "A tubular member (11) accommodates a lens (12) to focus a reflection light from a medium (6) on a light detector (7)." On page 6, lines 11-12, this document states: "A position of the lens (12) is adjusted so that a light guided to the light detector (7) is focused on light detector (7)."

JP 02-089236 discloses a multi-beam optical head having a rotatable reflection mirror (claim 1).

JP 03-141045 discloses an optical pickup device in which a reflecting member is rotatably provided to the periphery of a deflecting point of its deflecting surface (claims).

JP 05-290405 discloses a multi-beam had optical head in which a 45° rectangular prism is rocked around the optical axis of an objective lens and a beam string is rotated (Abstract).

JP 07-235060 discloses an optical pickup in which a half mirror is rotatably provided around an optical axis of mirror reflected light (claim 3).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,

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Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO  INFORMATION DISCLOSURE  STATEMENT DV A DRIVE A NET				Complete if Known		
			OSTIDE	Application Number	09/486,116	
				Filing Date	February 18, 2000	
STATEMENT BY APPLICANT (use as many sheets as necessary)		First Named Inventor	Hiroshi MIYAZAWA			
		Group Art Unit	2655			
		Examiner Name	G. Patel			
Sheet	1	of	1	Attorney Docket Number	0670-225	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				FOREIGN PATENT DOC	UMENTS			
Examiner Initials	Cite No.4	Foreign Patent Document  Kind Code <sup>5</sup>		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or		
		Office <sup>3</sup>	Number <sup>4</sup> (if known		MM-DD-YYYY	Relevant Figures Appear	T <sup>4</sup>	
		JР	05-325217		12/10/1993		AB/Con. Stmt.	
		JР	63-193215		12/13/1988		Con.Stmt	
		JР	02-089236		03/29/1990		AB/Con. Stmt.	
		JР	03-141045		06/17/1991		AB/Con. Stmt.	
		ЛР	05-290405		11/05/1993		FULL	
		JР	07-235060		09/05/1995		FULL	
			OTHER PR	IOR ART – NON PATENT LITI	ERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.						
		English translation of Office Action from the Japanese Patent Office, mailed 11/05/2002, in JP Patent Application No. 09-273368						
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.